

POSTGRESQL DEVELOPMENT CONFERENCE 2024



Adaptive Query Optimization (AQO)

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Presentation was made by: Alena Rybakina, Andrei Lepikhov

Self Introduction

PGConf .dev

- Core developer in Postgres Professional since 2021
- B.S. in Computer Science (Informatics and Computer Science), State Dubna University, **2021**
- Certificate of advanced training "Big data analytics", **2021**
- Contributing to the PostgreSQL project since **202**3
 - OR to ANY transformation
 - Self–Join–Elimination
- Participated in extension development:
 - AQO
 - sr_plan
 - replaning





1. How does Adaptive Query Optimization(AQO) work?





- **1**. How does Adaptive Query Optimization(AQO) work?
- 2. Problems & Features



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- **1**. How does Adaptive Query Optimization(AQO) work?
- 2. Problems & Features
- 3. Examples



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- 1. How does Adaptive Query Optimization(AQO) work?
- **2**. Problems & Features
- 3. Examples
- 4. Testing results







Adaptive Query Optimization



- It improves cardinality estimation
- It can effect the planner to find more optimal plan
- It saves the real cardinality information to use it in the future

Adaptive Query Optimization



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How does it work?

1. How does AQO work?

Optimization Issues



It needs always to use actual statistics

1. How does AQO work?

Optimization Issues



- It needs always to use actual statistics
- It poorly works with a large number of joins in the query

Optimization Issues



- It needs always to use actual statistics
- It poorly works with a large number of joins in the query
- It has an assumption in uniform distribution between columns



Wrong cardinality estimation

1. How does AQO work?



Wrong cardinality estimation

Wrong cost estimation

1. How does AQO work?



Wrong cardinality estimation

Wrong cost estimation

Choose nonoptimal plans

1. How does AQO work?



Wrong cardinality estimation

Wrong cost estimation

We are only engaged in improving the assessment of cardinality and don't consider assessment of cost

Choose nonoptimal plans

1. How does AQO work?

Problem With Cardinality Estimation



How good are query optimizers, really? V.Levis, A.Gubichev, A.Mirchev, P.Boncz, A.Kemper and T.Neumann, Proc. VLDB, Nov.**201**5

> Adaptive Cardilnality Estimation O.lvanov, S.Bartunov, Arxiv, Nov.**201**7

1. How does AQO work?



Solutions From PostgreSQL





The Memory For Planner



- It can store the actual cardinality of nodes and passes it to the optimizer next time
- It should store the selectivity of nodes to determine whether cardinality is appropriate for current selectivities
- It should learn from mistakes and correct data

The Memory For Planner



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1. How does AQO work?





• Every node is described through its selectivity of all its conditions

1. How does AQO work?



For example: explain analyze SELECT * FROM t1, t2 WHERE t1.x = t2.y AND t1.t = '1' ANDt2.c > 2;

=

```
explain analyze SELECT *

FROM t1, t2

WHERE t1.x = t2.y AND

t1.t = '3' AND

t2.c > 5;
```

- Every node is described through its selectivity of all its conditions
- All conditions in the node that differ only in constants are equivalent





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- The closest nodes of the query based on R2– distance are neighbours
- We need to predict the number of rows of a new node based on the known data of its neighbours
- Define the number of rows as a weighted average of the cardinalities of neighbours



Using K Nearest Neighbours method

Learning workflow is iterative:

- After the execution stage some of these objects are appended to the train set (set of queries) and the model can learn from them.
- On the planning stage the model tries to predict cardinality for a node



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Learning workflow is iterative:

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- On the planning stage the model tries to predict cardinality for a node Math for learning:
- Loss function evaluate the discrepancy between predicted and actual rows
- / Stochastic gradient optimizes data in the train set





It stores selectivities and number of rows of nodes

1. How does AQO work?





It stores selectivities and number of rows of nodes

AQO Data



Settings for all known queries: learning, using and autotunning AQO

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AQO Data



Settings for all known queries: learning, using and autotunning AQO

AQO queries

It stores all known queries and it's hashes and query texts

AQO query text

1. How does AQO work?





It stores selectivities and number of rows of nodes





Settings for all known queries: learning, using and autotunning AQO





It stores all known queries and it's hashes and query texts

AQO query text



statistics

It stores information about execution and planning time, cardinality error between predicted and actual number of rows – everything gathered with AQO and without AQO

1. How does AQO work?

How Optimizer Works





1. How does AQO work?

How AQO Works




How AQO Works





How AQO Works: Collecting Statistics





1. How does AQO work?

2. Problems & Features

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How AQO Works: Collecting Statistics





1. How does AQO work?

2. Problems & Features

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1. How does AQO work?

How AQO Works: Collecting Statistics



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How AQO Works: Collecting Statistics





1. How does AQO work?

PGConf راب dev How AQO Works: Collecting Statistics Cardinality Features AQO Data New features, new cardinalities System Cardinality Cost Catalog Estimator Estimator Features, cardinalities **Statistics** Node Expressions Plan Learning **Path Generator Plan Generator Query Tree** Optimal Path User **Query Executor** Results Execution statistics 1. How does AQO work? 2. Problems & Features 43

PGConf dev.dev How AQO Works: Collecting Statistics Cardinality Features AQO Data New features, System new cardinalities Cardinality Cost Catalog Estimator Estimator Features. cardinalities **Statistics** Node Expressions Plan Learning **Path Generator Plan Generator Query Tree** Optimal Path User **Query Executor** Results Execution if autotunnig mode is on statistics 1. How does AQO work? 2. Problems & Features

Hooks

Planning stage (prediction):

- set_baserel_size_estimates
- set_joinrel_size_estimates
- set_foreign_rows_estimate
- get_parameterized_baserel_size
- get_parameterized_joinrel_size
- estimate_num_groups

Other:

- planner_hook prepare to the planning stage
- ExecutorStart setting the flags for statistics collection
- copy_generic_path_info transmit Path information to Plan node
- create_plan_hook transmit Plan information to the Execution stage for learning

1. How does AQO work?

2. Problems & Features



After–execution stage:

- ExecutorEnd learning
- ExplainOnePlan visualization

Hooks

Planning stage (prediction):

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After-execution stage:

- ExecutorEnd learning
- ExplainOnePlan visualization

transmit cardinality information from the optimizer to the AQO and vice versa

Other:

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1. How does AQO work?

2. Problems & Features



After–execution stage:

- ExecutorEnd learning
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visualize debugging information about the Query plan with the AQO





Problems & features





- Presence of a limit node in the query plan
- No rows in one of the subnodes of the connection node



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- No rows in one of the subnodes of the connection node

We shouldn't save the actual data and allow to learn from them



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We shouldn't save the actual data and allow to learn from them

In progress...



- Presence of a limit node in the query plan
- No rows in one of the subnodes of the connection node
- Query execution time limit (statement timeout)

Statement timeout









Look–a–like Feature



- A new query run
- A lot of information about similar queries

Look–a–like Feature



- A new query run
- A lot of information about similar queries
- AQO uses it to make a prediction

Look–a–like Feature



• Try to find the closest nodes from any kind of queries



- Try to find the closest nodes from any kind of queries
- Avoid collision consider neighbours only with the same relation oids and number of clauses





Examples



Examples





https://github.com/Alena0704/Test-AQO/tree/main

3. Examples

4. Testing results

AQO Terminology



4. Testing results

- feature space (fs) the space where statistics on this class of queries are collected
- **feature subspace (fss)** the space where information about selectivity and cardinality of every node are collected for each item of feature space





3. Examples

AQO Terminology



SELECT * FROM STUDENT WHERE GROUP = 'classA';

SELECT * FROM STUDENT WHERE GROUP = 'classB';

- –> Seq Scan on student Filter: (group = classA)
- -> Index Scan using student_idx1 on student
 Filter: (group = classA)
- -> Index Scan using student_idx1 on student Filter: (group = classB)

3. Examples



fss1

fss2

1. Functional Dependences

...



explain an	alyze select cname, avg(degree)
fı	om score, course
wł	ere test_preparation=1 and
	degree>90
gi	oup by (cname);
GroupAggr Group # -> Soi	regate (cost=6710.966712.96 rows=10 width=78) (actual time=27.63229.604 rows=10 loops=1) Tey: course.cname rt (cost=6710.966711.58 rows=250 width=50) (actual time=27.40727.954 rows=10870 loops=1)
	> Nested Loop (cost-1000 00 6701 00 rows-250) (actual time-20 113 24 585 rows-10870 loops-1)
	actual coop (cost-1000.00.0701.00 10w3-250, (actual time-20.11524.505 10w3-10870 toops-17

- -> Materialize (cost=0.00..1.15 rows=10) (actual time=0.000..0.001 rows=10 loops=1087)
 - -> Seq Scan on course (cost=0.00..1.10 rows=10 width=46) (actual time=0.015..0.017 rows=10 loops=1)

	degree	essay_text_len	clevel	sgen	sgroup	test_preparation
degree	1.000	0.491	0.012	0.000	0.318	0.917
essay_text_len	0.491	1.000	0.000	0.000	0.286	0.789
clevel	0.012	0.000	1.000	0.000	0.000	0.000
sgen	0.000	0.000	0.000	1.000	0.018	0.000
sgroup	0.318	0.286	0.000	0.018	1.000	0.294
test_preparation	0.917	0.789	0.000	0.000	0.294	1.000

1. Functional Dependences



```
explain analyze select cname, avg(degree)
                                                                                  AQO:2 iterations
        from score, course
        where test_preparation=1 and
               degree>90
       group by (cname);
HashAggregate (cost=6994.85..6994.97 rows=10 width=78) (actual time=33.869..33.930 rows=10 loops=1)
   AQO: rows=10, error=0%, fss=1419871189
      Group Key: course.cname
      Nested Loop (cost=1000.00..6940.40 rows=10890) (actual time=25.147..30.012 rows=10870 loops=1)
        AQO: rows=10890, error=0%, fss=-882375677
        ....
         -> Materialize (cost=0.00..1.15 rows=10 width=46) (actual time=0.000..0.001 rows=10 loops=1087)
               AQO: rows=10, error=0%, fss=-1076069505
               -> Seq Scan on course (cost=0.00..1.10 rows=10) (actual time=0.016..0.020 rows=10 loops=1)
                     AQO: rows=10, error=0%, fss=-1076069505
```

3. Examples

4. Testing results

2. Non–Uniformed Data Distribution





2. Non–Uniformed Data Distribution



```
explain analyze select avg(degree), sgroup from score, course, student
                                                                                         AQQ \cdot 2 iterations
       where essay_text_len>500 and
               course.cno=score.cno and
               student.sno = score.sno
       group by (sgroup);
 HashAggregate (cost=2528.64..2528.71 rows=5 width=39) (actual time=179.476..179.485 rows=5 loops=1)
  AQO: rows=5, error=-0%, fss=-125982366 Group Key: student.sgroup Batches: 1 Memory Usage: 24kB
   -> Merge Join (cost=0.93..2434.65 rows=18798 width=11) (actual time=0.066..171.115 rows=18798 loops=1)
        AQO: rows=18798, error=0%, fss=390241325 Merge Cond: (score.sno = student.sno)
         -> Nested Loop (cost=0.57..26729.30 rows=18798) (actual time=0.048..164.677 rows=18798 loops=1)
              A00: rows=18798, error=0%, fss=712494197
              -> Thex Scan on score (cost=0.42..26280.76 rows=18798) (actual time=0.030..151.596 rows=18798 loops=1)
                    AQO: rows=18798, error=0%, fss=-217544758 Filter: (essay_text_len > 500)
                    Rows Removed by Filter: 338202
```

3. Examples

4. Testing results

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2. Non–Uniformed Data Distribution



WITHOUT AQO	WITH AQO				
ashAqqreqate	HashAggregate				
-> Hash Join Hash Cond: (score.cno = course.cno) -> Merge Join Merge Cond: (score.sno = student.sno)	-> Merge Join Merge Cond: (score.sno = student.sno) -> Nested Loop				
-> Index Scan using score_idx1 on score Filter: (essay_text_len > 500) -> Index Scan using student_pkey on student -> Hash	-> Index Scan using score_idx1 on score Filter: (essay_text_len > 500) -> Memoize -> Index Only Scan using course_pkey on cours Index Cond: (cno = score.cno)				
-> Seq Scan on course	-> Index Scan using student_pkey on student				

3. Outer Join

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```
create table broke_down_course(cno INT, sno INT);
insert into broke_down_course
    select cno, sno from SCORE
    where test_preparation = 1 and degree < 60
    order by random() limit 5000;
insert into broke_down_course
    select cno, sno from SCORE
    where test_preparation = 0 and degree < 60
    order by random() limit 50000;
```



3. Examples

4. Testing results

3. Outer Join



```
explain analyze select cname, avg(degree)
       from course, student, score
       join broke_down_course on
               (score.cno=broke_down_course.cno and score.sno=broke_down_course.sno)
       where score.sno = student.sno
       group by (cname);
HashAggregate (cost=1688.42..1688.55 rows=10) (actual time=86.500..86.509 rows=10 loops=1)
  Group Key: course.cname
  -> Nested Loop (cost=91.92..1686.43 rows=399) (actual time=0.961..57.920 rows=77540 loops=1)
         -> Nested Loop (cost=91.92..1680.30 rows=40) (actual time=0.954..43.954 rows=7754 loops=1)
              -> Hash Join (cost=91.50..226.36 rows=487) (actual time=0.934..5.119 rows=7754 loops=1)
                    Hash Cond: (broke_down_course.sr/ = student.sno)
                     -> Seq Scan on broke_down_course (cost=0.00..114.10 rows=7910) (actual time=0.037..1.262 rows=7754 loops=1)
                     -> Hash (cost=54.00..54.00 rows=3000) (actual time=0.889..0.890 rows=3000 loops=1)
                          -> Seq Scan on student (cost=0.00..54.00 rows=3000) (actual time=0.006..0.417 rows=3000 loops=1)
               ...
```

3. Examples

4. Testing results

3. Outer Join



```
explain analyze select cname, avg(degree)
                                                                                                 AQO:3 iteartions
from course, student, score join broke_down_course on
       (score.cno=broke_down_course.cno and score.sno=broke_down_course.sno)
where score.sno = student.sno
group by (cname);
HashAggregate (cost=1414.94..1415.07 rows=10 width=78) (actual time=164.494..164.504 rows=10 loops=1)
  AQO: rows=10, error=0%, fss=-651211982
   -> Merge Join (cost=614.28..1027.24 rows=77540 width=50) (actual time=3.038..134.968 rows=77540 loops=1)
        AQO: rows=77540, error=0%, fss=29214553
            Merge Cond: (score.sno = student.sno)
         -> Merge Join (cost=613.94..3362.22 rows=77540 width=58) (actual time=3.018..124.058 rows=77540 loops=1)
              AD: rows=77540, error=0%, fss=-1852476170
              Merge Cond: ((score.sno = broke_down_course.sno) AND (score.cno = broke_down_course.cno))
              -> Nested Loop (cost=0.42..29139.14 rows=299971 width=58) (actual time=0.055..76.314 rows=299971 loops=1)
                    AQO: rows=299971, error=0%, fss=-2144628856
                     ...
```

3. Examples

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4. Testing results
3. Outer Join

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Without AQO

With AQO

HashAggregate	HashAggregate		
-> Nested Loop	-> Merge Join Merge Cond: (score.sno = student.sno)		
-> Nested Loop	-> Merge Join		
-> Hash Join Hash Cond: (broke down course.sno = student.sno)	Merge Cond: ((score.sno = broke_down_course.sno) AND (score.cno = broke_down_course.cno))		
	-> Nested Loop		
-> Seq Scan on broke_down_course -> Hash	-> Index Scan using score_idx1 on score -> Materialize		
-> Seq Scan on student	-> Seq Scan on course		
-> Index Scan using score_idx1 on score	-> Sort		
Index Cond: ((sno = broke_down_course.sno)	-> Seq Scan on broke_down_course		
-> Materialize	-> Index only Scan using student_pkey on student		

-> Seq Scan on course

3. Examples

3. Outer Join

```
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```

```
explain analyze select cname, avg(degree)
    from course, student, score
    join broke_down_course on
    (score.cno=broke_down_course.cno and score.sno=broke_down_course.sno)
    where score.sno = student.sno group by (cname);
HashAggregate (rows=10) (rows=10)
  -> Merge Join (rows=77540) (rows=77540)
        Merge Cond: (score.sno = student.sno)
        -> Merge Join (rows=77540) (rows=77540)
              Merge Cond: ((score.sno = broke_down_course.sno) AND
                           (score.cno = broke_down_course.cno))
              -> Nested Loop (rows=299971) (rows=299971)
                    -> Index Scan on score (rows=29998) (rows=29998)
                    -> Materialize (rows=10) (rows=10)
                          -> Seq Scan on course (rows=10) (rows=10)
              -> Sort (rows=7754) (rows=77531)
                    Sort Key: broke_down_course.sno, broke_down_course.cno
                    -> Seq Scan on broke_down_course (rows=7754) (rows=7754)
        -> Index Only Scan on student (rows=3000) (rows=3000)
```

3. The Same Problem On A Difference Scale



- -> Merge Join (rows=354965847) (rows=1484797760)
 Merge Cond: (((sm.analit_uc_nom)::text = (ob.analit_uc_nom)::text) AND ...))
 - -> Sort (rows=240894) (rows=240894)

Sort Key: sm.analit_uc_nom, sm.razd_uc, sm.vid_zapas, sm.org

- -> Seq Scan on stoimost sm (rows=240894) (rows=240894)
- -> Sort (rows=241941) (rows=1484798487) Sort Key: ob.analit_uc_nom, ob.razd_uc, ob.vid_zapas, ob.org -> Seq Scan on oborots_work ob (rows=241941) (rows=241941)

3. The Same Problem On A Difference Scale



-> Merge Join (rows=354965847) (rows=1484797760)
Merge Cond: (((sm.analit_uc_nom)::text = (ob.analit_uc_nom)::text) AND ...))
-> Sort (rows=240894) (rows=240894)
Sort Key: sm.analit_uc_nom, sm.razd_uc, sm.vid_zapas, sm.org
-> Seq Scan on stoimost sm (rows=240894) (rows=240894)
-> Sort (rows=241941) (rows=1484798487)
Sort Key: ob.analit_uc_nom, ob.razd_uc, ob.vid_zapas, ob.org
-> Seq Scan on oborots_work ob (rows=241941) (rows=241941)

The Reason:

- The Merge Join rewinds its inner side to the start of the current group of equal keyed tuples if the next outer tuple must be also joined to the same group.
- Explain counts those tuples twice.

You can find the thread here: bit.ly/3yyH6dx

3. Examples

Analysing with aqo_query_stats



SELECT * FROM aqo_query_stats \gx

1 -[RECORD 1]	+
2 queryid	7430954541387508965
3 execution_time_with_aqo	<pre>{0.221163375,0.21725739,0.235732091,0.221946228,0.217616499,0.256209121,0.219321755}</pre>
4 execution_time_without_aqo	<pre>{0.237385655,0.242997873,0.230060608,0.235878734,0.231573898,0.229296202,0.229547688}</pre>
5 planning_time_with_aqo	<pre>{0.048900852,0.048985714,0.053167861,0.049327628,0.048804019,0.057644151,0.049276517}</pre>
6 planning_time_without_aqo	<pre>{0.020790356,0.021514073,0.019026199,0.019274039,0.020245325,0.019258199,0.019515377}</pre>
7 cardinality_error_with_aqo	<pre>{0.03850817669777474,0.03850817669777474,0.03850817669777474,0.03850817669777474}</pre>
8 cardinality_error_without_aqo	<pre>{0.960947753415567,0.960947753415567,0.960947753415567,0.960947753415567,0.960947753415567}</pre>
9 executions_with_aqo	49
10 executions_without_aqo	15
11 -[RECORD 2]	
12 queryid	-3495764495604230484
13 execution_time_with_aqo	<pre>{1.575004969,1.686475542,1.497201844,1.574961415,1.710951376,1.625525643,1.658347755}</pre>
14 execution_time_without_aqo	<pre>{0.983308019,0.961930579,0.838651462,1.415978422,0.834555689,0.913765313,0.787577022}</pre>
15 planning_time_with_aqo	<pre>{0.059669438,0.061208187,0.057022197,0.054507226,0.074582017,0.054978341,0.057604733}</pre>
16 planning_time_without_aqo	<pre>{0.023877627,0.023690638,0.025620636,0.02337944,0.023244195,0.024100254,0.024150521}</pre>
17 cardinality_error_with_aqo	<pre>{0.03850817669777474,0.03850817669777474,0.03850817669777474,0.03850817669777474}</pre>
18 cardinality_error_without_aqo	{0.9322820300116723,0.9322820300116723,0.9322820300116723,0.9322820300116723}
19 executions_with_aqo	49
20 executions_without_aqo	14

3. Examples

4. Testing results

Control queries with AQO





Modes



AUTO	Intelligent: when the cardinality error remains sufficiently small and stable for several only learned successive executions of a query, aqo turns on use_aqo		
DISABLED	Disabled: disabled at all query types		
LEARN	Learn: enabled for learning for every query types		
PREDICTION	Forced: enabled for all query types Controlled: only learns and makes predictions for known queries Frozen: makes predictions for known queries, but does not learn from any queries		

How does AQO work?

Problems & Features

AQO's storage structure



aqo_data	aqo_queries	aqo_query_text	aqo_query_stat
 Feature space (Queries) Feature subspace (Nodes) NFeatures Features (Selectivities) Targets (Rows) Oids of relations 	 Query hash Learn AQO Use AQO Feature space (Query hash) Auto tuning 	 Query hash Query text 	 Queryid Execution time with AQO Execution time without AQO Planning time with AQO Planning time without AQO Cardinality error with AQO Cardinality Error without AQO Executions with AQO Executions with AQO
It stores selectivities for every query statement and it's number of rows	Settings for all known queries	It stores all known queries and it's hashes	For analysis of working AQO

3. Examples





Testing results



Internet Movie Database (IMDB)



- set of **11**3 queries
- every query have from 3 to 16 joins
- the queries answer the logical questions of a movie lover
- queries are difficult for the optimizer due to the large number of joins and correlations

You can find the thread here: bit.ly/4bCE5ru

Conclusion

4. Testing results

Internet Movie Database (IMDB)





4. Testing results

Tests On Join Order Benchmark

main parameters on all stages:

- random/seq_page_cost = 1
- from/join_collapse_limit = 4
 parameters on learning stage:
- disable parallelism
- disabled, frozen stages:
- enable parallelism



Conclusion

4. Testing results

JOB Results In Disabled Mode



JOB results in disabled mode



PGConf









∕[**∩ PGConf**







Improved Query Performance



The ratio between execution time without and with using AQO



4. Testing results

In Conclusion



AQO:

- + Stores statistical information about query execution
- + Helps optimizer to improve cardinality estimation
- + Is useful for complicated queries of the same structure with slow plan caused by bad cardinality estimates
- + Works well for OLAP-like queries

You can find the AQO extension here: https://github.com/postgrespro/aqo

In Conclusion



AQO:

- + Stores statistical information about query execution
- + Helps optimizer to improve cardinality estimation
- + Is useful for complicated queries of the same structure with slow plan caused by bad cardinality estimates
- + Works well for OLAP–like queries

Has limitations:

- Works well when data distribution doesn't change rapidly
- Works well in databases with few temporary tables

You can find the AQO extension here:

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- Learning on replica: the main question is whose knowledge we should use
- Learning on temporary tables: the main question is how to determine them after cancelation of session
- Queries with limit number of tuples (Limit node)
- One of the subnodes of the connection node does not have any rows
- Accounting the side–effect of skyrocketing of tuples because of the presence of dublicate–keyed tuples

You can find the AQO extension here: https://github.com/postgrespro/aqo





Thank You For Your Attention!

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